

COMMUNICATION

Solvent effects on the energy barrier of excited state proton transfer in 3-hydroxyflavone

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Received 23 Sep. 2017; Accepted (in revised version) 10 Nov 2017

Abstract: The energy barrier of excited state proton transfer (ESPT) process in 3-hydroxyflavone (3HF) was studied considering the solvent effects. It was found that the ESPT energy barrier increased with the increase of solvent polarity. But the ESPT energy barrier of 3HF in methanol is lower than in vacuum, n-hexane and acetonitrile. It was found that methanol molecule lowers the ESPT energy barrier by forming a seven-membered-ring 3HF-methanol complex.

AMS subject classifications: 74E40, 78M50

Keywords: excited state proton transfer; hydrogen bond; solvent effect; dual emission

3-hydroxyflavone (3HF) is a classic molecule which shows excited state proton transfer (ESPT).[1-12] It reported the ESPT process in 3HF is solvent dependence.[1-4] In non-polar solvents, such as hydrocarbon solvents, only tautomer (T^*) emission was observed. But in alcohols, there are dual emissions. One emission is located at around 405 nm, named as normal form emission (N^*), the other emission is located at around 530 nm which comes from tautomer form (T^*). Woolfe et al. measured the activation energy for the excited state proton transfer (ESPT) in hydrocarbon and methanol solvents.[2] They found ESPT activation energy in methanol-d is lower than in methyl-cyclohexane. However, there are no theoretical studies to elucidate the alcohol solvent effect. There are many people found that solvent molecules often takes part in the ESPT process.[13-15] It was reported that water wire lowers the ESPT energy barrier.[14]

In this communications, the ESPT processes were studied using time-dependence (TD)

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density functional theory (DFT) methods. B3LYP functional and 6-31G (d, p) basis set were used. IEFPCM model was used to consider the solvent effect. N-hexane, acetonitrile and methanol solvents were considered in calculations. All the potential energy minima have been confirmed by no imaginary frequency mode in vibrational analysis. The first excited state potential energy curves have been scanned by constrained optimizations fixing the hydrogen bond O---H distance at a series of values. It was found that the ESPT energy barrier increased with increase of solvent polarity. But the seven-membered-ring hydrogen bonding complex of 3HF-methanol obtains lower energy barrier than 3HF monomer.

The ground states of 3HF monomer and 3HF-methanol seven-membered-ring complex were optimized and the optimized structures are shown in **Figure 1**. In the optimized 3HF, the length of hydrogen bond (HB₁) between carbonyl oxygen atom and hydroxyl hydrogen atom is 1.937 Angstrom. In seven-membered-ring 3HF-methanol complex, the length of hydrogen bond (HB₂) between carbonyl oxygen atom and methanol hydroxyl hydrogen atom is 1.855 Angstrom. The length of hydrogen bond (HB₃) between 3HF hydroxyl hydrogen atom and methanol hydroxyl oxygen atom is 1.736 Angstrom. So that, the hydrogen bonds in 3-HF methanol are shorter than in 3HF monomer.

The first singlet excited states of 3HF and 3HF-methanol were also optimized. The HB₁ length is 1.692 Angstrom, HB₂ is 1.617 Angstrom and HB₃ is 1.463 Angstrom. All of the hydrogen bonds are strengthened after 3HF and 3HF-methanol complex has been excited.

The first excited state potential energies were calculated as along ESPT path of 3HF in vacuum, n-hexane and acetonitrile. The results were plotted in **Figure 2**. There are small energy barriers on the ESPT paths. The barriers are 1.167 kcal/mol in vacuum, 1.243 kcal/mol

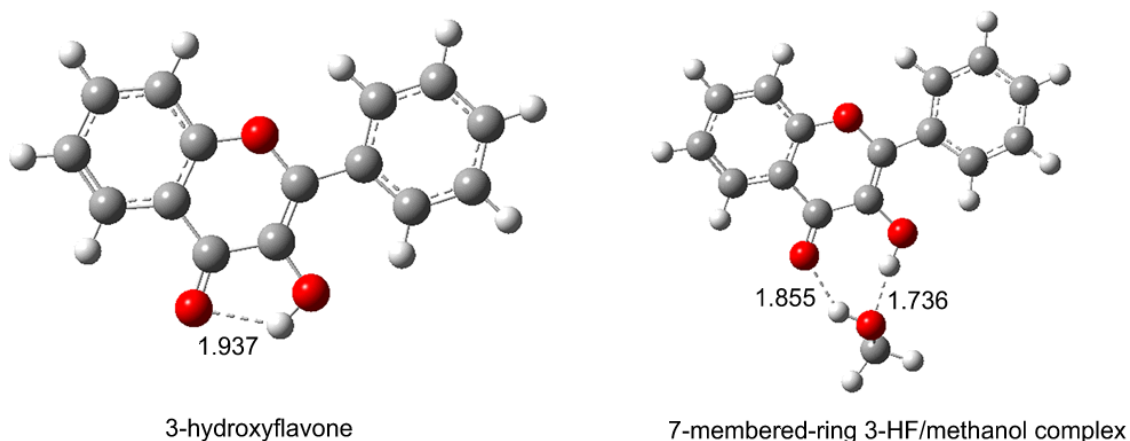


Figure 1: The ground state structures of 3HF and 3HF-methanol seven-membered-ring complex were optimized at B3LYP/6-31G(d,p) level.