# Neighbor Sum Distinguishing Total Chromatic Number of Graphs with Lower Average Degree

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**Abstract.** For a given simple graph G = (V(G), E(G)), a proper total-*k*-coloring  $c : V(G) \cup E(G) \rightarrow \{1, 2, ..., k\}$  is neighbor sum distinguishing if  $f(u) \neq f(v)$  for each edge  $uv \in E(G)$ , where  $f(v) = \sum_{wv \in E(G)} c(wv) + c(v)$ . The smallest integer *k* in such a coloring of *G* is the neighbor sum distinguishing total chromatic number, denoted by  $\chi_{\Sigma}^{\prime\prime}(G)$ . It has been conjectured that  $\chi_{\Sigma}^{\prime\prime}(G) \leq \Delta(G) + 3$  for any simple graph *G*. Let  $mad(G) = \max\{\frac{2|E(H)|}{|V(H)|} : H \subseteq G\}$  be the maximum average degree of *G*. In this paper, by using the famous Combinatorial Nullstellensatz, we prove  $\chi_{\Sigma}^{\prime\prime}(G) \leq \max\{9, \Delta(G) + 2\}$  for any graph *G* with mad(G) < 4. Furthermore, we characterize the neighbor sum distinguishing total chromatic number for every graph *G* with mad(G) < 4 and  $\Delta(G) \geq 8$ .

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Key words: Neighbor sum distinguishing total coloring, combinatorial nullstellensatz, maximum average degree

## 1 Introduction

All graphs mentioned in this paper are undirected, finite and simple. For a graph *G*, we denote its vertex set, edge set, maximum degree and minimum degree by V(G), E(G),  $\Delta(G)$  and  $\delta(G)$ , respectively. For  $v \in V(G)$ , the degree of *v*, denoted by d(v), is the number of edges incident with *v*. A vertex *v* is called a *k*-vertex, a *k*<sup>+</sup>-vertex and a *k*<sup>-</sup>-vertex if d(v) = k,  $d(v) \ge k$  and  $d(v) \le k$ , respectively. A *k*-neighbor of *v* is a neighbor of *v* with degree *k*. Let  $d_k(v)$ ,  $d_{k^+}(v)$  and  $d_{k^-}(v)$  be the number of neighbors of *v* with degree *k*, at least *k* and at most *k* in *G*, respectively. For  $v \in V(G)$  and  $U \subseteq V(G)$ , the number of neighbors of *v* in *U* is denoted by  $d_U(v)$ . A 1-vertex is also said to be a *leaf*. The average degree ad(G) of a graph *G* is defined as  $\frac{2|E(G)|}{|V(G)|}$ . The maximum average degree mad(G)

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of a graph *G* is the maximum of the average degrees of its subgraphs. For notation and terminology not defined in this paper, see [2].

A proper total-*k*-coloring of a graph G = (V(G), E(G)) is an assignment  $c : V(G) \cup E(G) \rightarrow \{1, ..., k\}$  such that  $c(x) \neq c(y)$  for each pair of adjacent or incident elements  $x, y \in V(G) \cup E(G)$ . For  $v \in V(G)$ , let  $f(v) = \sum_{wv \in E(G)} c(wv) + c(v)$  be the sum of the colors assigned to the edges incident with v and the color of v. If  $f(u) \neq f(v)$  for each edge  $uv \in E(G)$ , then c is called as a neighbor sum distinguishing total-k-coloring or a tnsd-k-coloring of G for short. The smallest integer k in such a coloring of G is the neighbor sum distinguishing total chromatic number of G, denoted by  $\chi_{\Sigma}^{"}(G)$ .

Given a total-*k*-coloring *c* of *G*, let S(v) denote the set of colors of edges incident with v and the color of v. If  $S(u) \neq S(v)$  for each edge  $uv \in E(G)$ , then *c* is called as an adjacent vertex distinguishing total-*k*-coloring. The smallest integer *k* in such a coloring of *G* is the adjacent vertex distinguishing total chromatic number of *G*, denoted by  $\chi_a''(G)$ . In 2005, Zhang *et al.* [14] put forward the following conjecture.

### **Conjecture 1.1** ([14]). *For any graph G with at least two vertices,* $\chi_a''(G) \le \Delta(G) + 3$ .

Zhang *et al.* [14] proved the conjecture for graphs which are paths, cycles, fans, wheels, stars, complete graphs, bipartite complete graphs and trees. Chen [3] and Wang [11] proved independently the Conjecture 1.1 for graphs with  $\Delta(G) \leq 3$ . In 2008, Wang and Wang [13] showed that if *G* is a graph with mad(G) < 3, then  $\chi''_a(G) \leq \max\{7, \Delta(G)+2\}$ . In 2012, Huang and Wang [7] proved that  $\chi''_a(G) \leq \max\{14, \Delta(G)+3\}$  for planar graphs. In 2014, Wang and Huang [12] proved that  $\chi''_a(G) \leq \max\{15, \Delta(G)+2\}$  for planar graphs. In 2020, Chang *et al.* [4] proved that  $\chi''_a(G) \leq \max\{11, \Delta(G)+3\}$  for planar graphs.

In 2011, Pilśniak and Woźniak [9] introduced the concept of tnsd-*k*-coloring and put forward the following conjecture.

### **Conjecture 1.2** ([9]). For any graph G with at least two vertices, $\chi_{\Sigma}''(G) \leq \Delta(G) + 3$ .

Conjecture 1.2 implies Conjecture 1.1. Pilśniak and Woźniak [9] confirmed the Conjecture 2 for cycles, complete graph, subcubic graphs and bipartite graphs. In 2013, Li *et al.* [8] proved that conjecture holds for  $K_4$ -minor free graphs. In 2014, Dong *et al.* [5] showed that if *G* is a graph with mad(G) < 3, then  $\chi_{\Sigma}''(G) \le \max\{7, \Delta(G) + 2\}$ . In 2017, Qiu *et al.* [10] proved that if *G* is a graph with  $mad(G) < \frac{9}{2}$ , then  $\chi_{\Sigma}''(G) \le \max\{11, \Delta(G) + 3\}$ . In 2020, Hocquard and Przybylo [6] proved that if *G* is a graph with  $mad(G) < \frac{14}{3}$ , then  $\chi_{\Sigma}''(G) \le \max\{11, \Delta(G) + 3\}$ .

In this paper, we prove the following result:

#### **Theorem 1.1.** Let G be a graph with mad(G) < 4.

- (1) Then  $\chi_{\Sigma}''(G) \le \max\{9, \Delta(G)+2\}.$
- (2) If  $\Delta(G) \ge 8$ , then  $\chi_{\Sigma}''(G) = \Delta(G) + 1$  if and only if G without adjacent  $\Delta(G)$ -vertices.

The girth g(G) of a graph *G* is the smallest length of the cycles in *G*. We get the following corollary from Theorem 1.1 for planar graph.