DOI: 10.4208/aamm.09-m0959 June 2010

Accurate 8-Node Hybrid Hexahedral Elements with Energy-Compatible Stress Modes

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Received 1 July 2009; Accepted (in revised version) 7 November 2009 Available online 25 March 2010

> **Abstract.** In this paper, an energy-compatibility condition is used for stress optimization in the derivation of new accurate 8-node hexahedral elements for threedimensional elasticity. Equivalence of the proposed hybrid method to an enhanced strains method is established, which makes it easy to extend the method to general nonlinear problems. Numerical tests show that the resultant elements possess high accuracy at coarse meshes, are insensitive to mesh distortions and free from volume locking in the analysis of beams, plates and shells.

AMS subject classifications: 65N12, 65N30.

Key words: Finite element, hybrid stress method, Hellinger-Reissner principle, locking.

1 Introduction

Due to their computational efficiency and simple geometry, low-order hexahedral and tetrahedral elements are the most exploited in the 3D analysis of general solid and structural mechanics problems. However, conventional low-order elements yield poor results at coarse meshes for problems with bending, and suffer from locking at the nearly incompressible limit. To improve their performance, several kinds of enhanced stress/ strain methods have been developed based on generalized variational principles. The first kind is the assumed stress method based on the Hellinger-Reissner principle, where the displacement and stress fields are the assumed independent variables. Representative of this approach for 2D and 3D analysis are the works [1–25]. The second is the enhanced strain method based on the Hu-Washizu principle, where the displacement, stress and strain fields are the assumed independent variables. In this direction, there are a number of works, e.g., [26–55]. The combined hybrid method is the third kind of enhanced stress method which includes displacement and stress

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variables. It is based on weighted combination of the Hellinger-Reissner functional and its dual, the primal hybrid functional. For the related work one can see [56–59].

Among these methods, the 3-field enhanced strain approach is more attractive in non-linear analysis, since the Hu-Washizu functional is formulated in terms of the strain energy function. But from a practical viewpoint, the 2-field assumed stress approach is more computationally efficient, which makes it more popular. For this method, the choice of stress mode is key to the construction of high performance elements. To improve the performance of the 8-node isoparametric trilinear hexahedron element H8 by the assumed stress approach, Spilker and Singh [11] derived an isoparametric quadratic displacement element. However, as the chosen stress field must satisfy equilibrium, then the interpolation must be given in terms of Cartesian co-ordinates. Furthermore, it is necessary to perform inversion operations on a fairly high-order matrix in computing the stiffness matrix. On the other hand, Pian and Tong [2] used isoparametric interpolation and relaxed the equilibrium conditions by introducing Wilson internal displacements parameters [60]. In this way, they constructed a 8-node hybrid stress hexahedral element $PT18\beta$ in which the stress interpolation functions are similar to those given by Loikkanen and Irons [61]. By using admissible matrix formulation, Sze [15–17] improved $PT18\beta$ to obtain better performance for thin plates and shells.

In [23, 25], Xie and Zhou showed that for 2D analysis, fulfillment of the following energy-compatibility (or energy-orthogonality) condition

$$\int_{K} \boldsymbol{\tau} \cdot \boldsymbol{\epsilon}(\mathbf{v}_{I}) d\Omega = 0, \quad \forall \boldsymbol{\tau}, \text{ and } \forall \mathbf{v}_{I},$$

can lead to optimal stress mode and robust hybrid stress element, where *K* denotes an arbitrary quadrilateral, τ the assumed stresses, \mathbf{v}_I the Wilson internal displacements, \mathbf{n} the unit outer normal vector along ∂K . Following the same idea, in this contribution we will use the above stress optimization condition to derive new 8-node hybrid stress hexahedral elements for the analysis of solid mechanical problems, including beams, plates and shells. Following the idea of Piltner [33,34], we will also discuss the equivalence of the new method to an enhanced strains method.

2 Mixed/ hybrid finite element formulations

Consider the linear elasticity problem

$$\begin{cases}
-\mathbf{div}\sigma = \mathbf{f}, & \sigma = D\varepsilon(\mathbf{u}), & \text{in } \Omega, \\
\sigma \cdot \mathbf{n}|_{\Gamma_1} = T, & \mathbf{u}|_{\Gamma_0} = 0, & \text{on } \partial\Omega = \Gamma_1 \cup \Gamma_0,
\end{cases}$$
(2.1)

where $\Omega \subset \Re^3$ is a bounded open set, **u** represent the displacements, σ the stress tensor, $\epsilon(\mathbf{u}) = (\nabla \mathbf{u} + \nabla^T \mathbf{u})/2$ the strains, **D** the elasticity module matrix, **f** the prescribed body forces, **T** the prescribed surface traction on Neuman boundary Γ_1 .

When incompatible displacements are used in the construction of hybrid stress finite element method, the Hellinger-Reissner variational principle reads as

$$\Pi(\boldsymbol{\sigma}^{h}, \mathbf{u}^{h}) = \inf_{\mathbf{v} \in \mathbf{u}^{h}} \sup_{\boldsymbol{\tau} \in \mathbf{v}^{h}} \Pi(\boldsymbol{\tau}, \mathbf{v}),$$
(2.2)

where the energy functional

$$\Pi(\boldsymbol{\tau}, \mathbf{v}) = \sum_{K} \left[-\frac{1}{2} \int_{K} \boldsymbol{\tau} \cdot \mathbf{D}^{-1} \boldsymbol{\tau} d\Omega + \int_{K} \boldsymbol{\tau} \cdot \boldsymbol{\epsilon}(\mathbf{v}) d\Omega - \oint_{\Gamma_{1} \cap \partial K} \mathbf{T} \cdot \mathbf{v}_{c} ds - \oint_{\partial K} \boldsymbol{\tau} \mathbf{n} \cdot \mathbf{v}_{I} ds - \int_{K} \mathbf{f} \cdot \mathbf{v} d\Omega \right],$$

displacements $\mathbf{v} = \mathbf{v}_c + \mathbf{v}_I$ with \mathbf{v}_c the compatible element displacements and \mathbf{v}_I the incompatible internal displacements, τ are piecewise-independent stresses,

$$U^h = U^h_c \bigoplus U^h_I, \quad \text{and} \quad V^h \subset V := \prod_{K \in T_h} H(\text{div}; K),$$

denote respectively the finite dimensional approximation subspaces for displacements and stresses such that

$$\mathbf{U}_{\mathbf{c}}^{\mathbf{h}} \subset \mathbf{U}_{\mathbf{c}} := \{ \mathbf{v} \in H^{1}(\Omega)^{3}; \ \mathbf{v}|_{\Gamma_{0}} = 0 \},\ \mathbf{U}_{\mathbf{I}}^{\mathbf{h}}|_{\kappa} := \operatorname{span}\{\operatorname{incompatible internal bubble displacements}\}$$

with $T_h = \bigcup \{K\}$ a given hexahedral mesh subdivision, $H^1(\Omega)$ the usual Sobolev space, $L^2(\Omega)$ the square-integrable function space,

$$\mathbf{H}(\operatorname{div}; K) = \Big\{ \tau = (\tau_{11}, \tau_{22}, \tau_{33}, \boldsymbol{\omega}_{12}, \tau_{13}, \tau_{23})^T \in L^2(\Omega)^6; \operatorname{div} \tau \in L^2(\Omega)^3 \Big\},\$$

where

$$\mathbf{div\tau} = \Big(\frac{\partial \tau_{11}}{\partial x} + \frac{\partial \tau_{12}}{\partial y} + \frac{\partial \tau_{13}}{\partial z}, \ \frac{\partial \tau_{12}}{\partial x} + \frac{\partial \tau_{22}}{\partial y} + \frac{\partial \tau_{23}}{\partial z}, \ \frac{\partial \tau_{13}}{\partial x} + \frac{\partial \tau_{23}}{\partial y} + \frac{\partial \tau_{33}}{\partial z}\Big)^T.$$

2.1 Element geometry and stress modes

Let *K* be an arbitrary hexahedron with eight vertices $P_i(x_i, y_i, z_i)$ (i = 1, ..., 8). The isoparametric mapping

$$\mathbf{F}_{\mathbf{K}}:\widehat{K}=[-1,1]^3\to K,$$

is given by

$$\begin{pmatrix} x \\ y \\ z \end{pmatrix} = F_K(\xi,\eta,\zeta) = \frac{1}{8} \sum_{i=1}^8 N_i \begin{pmatrix} x_i \\ y_i \\ z_i \end{pmatrix},$$

.

where ξ , η and ζ are the isoparametric coordinates,

We denote the element geometric parameters as follows

Then the Jacobian of the co-ordinate transformation F_K is

$$\begin{split} [J] &= \begin{pmatrix} \frac{\partial x}{\partial \xi} & \frac{\partial y}{\partial \xi} & \frac{\partial z}{\partial \xi} \\ \frac{\partial x}{\partial \eta} & \frac{\partial y}{\partial \eta} & \frac{\partial z}{\partial \eta} \\ \frac{\partial x}{\partial \zeta} & \frac{\partial y}{\partial \zeta} & \frac{\partial z}{\partial \zeta} \end{pmatrix} \\ &= \begin{pmatrix} a_1 + a_4\eta + a_5\zeta + a_7\eta\zeta & b_1 + b_4\eta + b_5\zeta + b_7\eta\zeta & c_1 + c_4\eta + c_5\zeta + c_7\eta\zeta \\ a_2 + a_4\zeta + a_6\zeta + a_7\zeta\zeta & b_2 + b_4\zeta + b_6\zeta + b_7\zeta\zeta & c_2 + c_4\zeta + c_6\zeta + c_7\zeta\zeta \\ a_3 + a_5\zeta + a_6\eta + a_7\zeta\eta & b_3 + b_5\zeta + b_6\eta + b_7\zeta\eta & c_3 + c_5\zeta + c_6\eta + c_7\zeta\eta \end{pmatrix}$$

Let

$$\mathbf{U}_{1}^{h} := \Big\{ \mathbf{v}_{c} \in \mathbf{U}_{c} \cap C^{0}(\overline{\Omega}); \\ \mathbf{v}_{c}|_{K} \in \operatorname{span}\{1, \xi, \eta, \zeta, \xi\eta, \eta\zeta, \zeta\xi, \xi\eta\zeta\}^{3} \circ F_{K}^{-1}, \quad \forall K \in T_{h} \Big\},$$

be the compatible isoparametric trilinear displacement subspace, i.e., for $\mathbf{v}_c \in \mathbf{U}_1^h$,

$$\mathbf{v}_{c}|_{K} = \begin{bmatrix} N_{1}\mathbf{I}_{3} & N_{2}\mathbf{I}_{3} & N_{3}\mathbf{I}_{3} & N_{4}\mathbf{I}_{3} & N_{5}\mathbf{I}_{3} & N_{6}\mathbf{I}_{3} & N_{7}\mathbf{I}_{3} & N_{8}\mathbf{I}_{3} \end{bmatrix} q_{c}^{(\mathbf{v})}$$

$$:= \mathbf{N}_{C}q_{c}^{(\mathbf{v})}, \qquad (2.3)$$

where I_3 is the three order identical matrix, and

$$q_c^{(\mathbf{v})} = (u_1, v_1, w_1, \cdots, u_8, v_8, w_8)^T \in \mathbb{R}^{24},$$

are the nodal displacements. Let also

$$\mathbf{U}_{I}^{h} := \left\{ \mathbf{v}_{I}; \ \mathbf{v}_{I} |_{K} \in \operatorname{span}\{1 - \xi^{2}, 1 - \eta^{2}, 1 - \zeta^{2}\}^{3} \circ F_{K}^{-1}, \quad \forall K \in T_{h} \right\},\$$

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be the Wilson's internal displacement subspace, i.e., for $\mathbf{v}_I \in \mathbf{U}_I^h$,

$$\mathbf{v}_{I}|_{K} = \begin{bmatrix} (1-\xi^{2})\mathbf{I}_{3} & (1-\eta^{2})\mathbf{I}_{3} & (1-\zeta^{2})\mathbf{I}_{3} \end{bmatrix} q_{I}^{(v)} := \mathbf{N}_{I}q_{I}^{(\mathbf{v})},$$
(2.4)

where the internal displacements $q_I^{(v)} \in \Re^9$. Then the Wilson's incompatible displacement subspace can be denoted by

$$\mathbf{U}^h_W := \mathbf{U}^h_1 \bigoplus \mathbf{U}^h_I$$

i.e., for $\forall \mathbf{v} \in \mathbf{U}_W^h$,

$$\mathbf{v}|_{K} = (\mathbf{v}_{c} + \mathbf{v}_{I})|_{K} = \begin{bmatrix} \mathbf{N}_{C} & \mathbf{N}_{I} \end{bmatrix} \left\{ \begin{array}{c} q_{c}^{(\mathbf{v})} \\ q_{I}^{(\mathbf{v})} \end{array} \right\}.$$
(2.5)

Let

$$\mathbf{V}_{1}^{h} := \left\{ \boldsymbol{\tau} \in \mathbf{V}; \; (\boldsymbol{\tau}_{11}, \boldsymbol{\tau}_{22}, \boldsymbol{\tau}_{33}) |_{K} \in \operatorname{span}\{1, \xi, \eta, \zeta, \xi \eta \zeta\}^{3} \circ F_{K}^{-1}, \\ (\boldsymbol{\tau}_{12}, \boldsymbol{\tau}_{13}, \boldsymbol{\tau}_{23}) |_{K} \in \operatorname{span}\{1, \xi, \eta, \zeta\}^{3} \circ F_{K}^{-1}, \quad \forall K \in T_{h} \right\},$$

be the piecewise stress subspace, i.e., stresses $\boldsymbol{\tau} \in \mathbf{V}_1^h$ on *K* have the form

Let

$$\mathbf{V}_E^h := \Big\{ \boldsymbol{\tau} \in \mathbf{V}_1^h; \quad \int_K \boldsymbol{\tau} \cdot \boldsymbol{\epsilon}(\mathbf{v}_I) d\Omega = 0, \quad \forall \mathbf{v}_I \in \mathbf{U}_I^h, \quad \forall K \in T_h \Big\},$$

be the energy-compatible stress subspace. Since the energy compatibility condition

$$\int_{K} \boldsymbol{\tau} \cdot \boldsymbol{\epsilon}(\mathbf{v}_{I}) d\Omega = 0, \qquad \forall \mathbf{v}_{I} \in \mathbf{U}_{I}^{h},$$
(2.7)

yields 9 linear constraints for the piecewise 27-parameter stresses τ in (2.6), the energycompatible stresses in \mathbf{V}_E^h are of 27 - 9 = 18 parameters on *K*. Due to the complexity of three dimensional case, it's not easy to get the explicit forms of the energy-compatible stresses. But in actual computation we can use them in their implicit forms, as will be discussed in Section 2.2.

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Remark 2.1. In fact, one can also give another assumed stress mode which is different from (2.6), say

2.2 Element ECH8: element formulations

By taking

$$\mathbf{V}^h = \mathbf{V}^h_F$$
, and $\mathbf{U}^h = \mathbf{U}^h_1$,

in the variational problem (2.2), i.e., employing the compatible isoparametric trilinear displacements (2.3) and the implicit 18-parameter energy-compatible stress mode, a hybrid stress element named ECH8 is obtained. In other words, ECH8 is based on the following variational principle

$$\Pi_{1}(\boldsymbol{\sigma}^{h}, \mathbf{u}_{c}^{h}) = \inf_{\mathbf{v}_{c} \in \mathbf{U}_{1}^{h}} \sup_{\boldsymbol{\tau} \in \mathbf{V}_{E}^{h}} \Pi_{1}(\boldsymbol{\tau}, \mathbf{v}_{c}) = \inf_{\mathbf{v}_{c} \in \mathbf{U}_{1}^{h}} \sup_{\substack{\mathbf{v}_{c} \in \mathbf{U}_{1}^{h} \\ \text{satisfying (2.7)}}} \Pi_{1}(\boldsymbol{\tau}, \mathbf{v}_{c}), \quad (2.9)$$

where

$$\Pi_{1}(\boldsymbol{\tau}, \mathbf{v}_{c}) = \sum_{K} \left[-\frac{1}{2} \int_{K} \boldsymbol{\tau} \cdot \mathbf{D}^{-1} \boldsymbol{\tau} d\Omega + \int_{K} \boldsymbol{\tau} \cdot \boldsymbol{\epsilon}(\mathbf{v}_{c}) d\Omega - \oint_{\Gamma_{1} \cap \partial K} \mathbf{T} \cdot \mathbf{v}_{c} ds - \int_{K} \mathbf{f} \cdot \mathbf{v}_{c} d\Omega \right].$$

From the second relation in (2.9) we know that the problem can be viewed as a saddlepoint problem on $\mathbf{V}_1^h \times \mathbf{U}_1^h$ under the stress constraint (2.7). By introducing the Lagrangian multiplier $\mathbf{u}_I^h \in \mathbf{U}_I^h$ we can easily change this problem into the following constraint-free problem

$$\Pi_2(\boldsymbol{\sigma}^h, \mathbf{u}_c^h, \mathbf{u}_I^h) = \inf_{\mathbf{v} = \mathbf{v}_c + \mathbf{v}_I \in \mathbf{U}_W^h} \sup_{\boldsymbol{\tau} \in \mathbf{V}_1^h} \Pi_2(\boldsymbol{\tau}, \mathbf{v}_c, \mathbf{v}_I),$$
(2.10)

where

$$\Pi_{2}(\boldsymbol{\tau}, \mathbf{v}_{c}, \mathbf{v}_{I}) = \sum_{K} \Big[-\frac{1}{2} \int_{K} \boldsymbol{\tau} \cdot \mathbf{D}^{-1} \boldsymbol{\tau} d\Omega + \int_{K} \boldsymbol{\tau} \cdot \boldsymbol{\epsilon} (\mathbf{v}_{c} + \mathbf{v}_{I}) d\Omega \\ - \oint_{\Gamma_{1} \bigcap \partial K} \mathbf{T} \cdot \mathbf{v}_{c} ds - \int_{K} \mathbf{f} \cdot \mathbf{v}_{c} d\Omega \Big].$$

The stationary conditions of (2.10) are known to be: Find

$$(\boldsymbol{\sigma}^h, \mathbf{u}_c^h, \mathbf{u}_I^h) \in \mathbf{V}_1^h \times \mathbf{U}_1^h \times \mathbf{U}_I^h$$

such that

$$\sum_{K} \left\{ \int_{K} \sigma^{h} \cdot \mathbf{D}^{-1} \boldsymbol{\tau} \, d\Omega - \int_{K} \boldsymbol{\tau} \cdot \boldsymbol{\epsilon} (\mathbf{u}_{c}^{h} + \mathbf{u}_{I}^{h}) \, d\Omega \right\} = 0, \qquad \forall \boldsymbol{\tau} \in \mathbf{V}_{1}^{h}, \quad (2.11)$$

$$\sum_{K} \int_{K} \sigma^{h} \cdot \boldsymbol{\epsilon} (\mathbf{v}_{c} + \mathbf{v}_{I}) \ d\Omega = \sum_{K} \Big\{ \int_{K} \mathbf{f} \cdot \mathbf{v}_{c} \ d\Omega + \oint_{\Gamma_{1} \cap \partial K} \mathbf{T} \cdot \mathbf{v}_{c} \ ds \Big\}, \quad \forall \mathbf{v}_{c} \in \mathbf{U}_{1}^{h}.$$
(2.12)

Remark 2.2. When using the stress mode (2.8) instead of (2.6), one can similarly get another energy-compatible hybrid element, which is named *ECH8*′ for convenience.

Remark 2.3. Just like the original incompatible Wilson element(H11), the elements *ECH8* and *ECH8*' do not pass the patch test for non-parallelepiped meshes. But as shown in [66], the patch test is not necessary for the convergence of incompatible approximation, and Wilson element gives a convergent solution, provided that the finite meshes satisfied a particular condition, e.g., a bi-section mesh condition. The situation for *ECH8* and *ECH8*' is similar.

The element stiffness matrix of ECH8 is derived as follows. From (2.3),(2.4) we have

$$\left(\boldsymbol{\epsilon}(\mathbf{v}_{c}) + \boldsymbol{\epsilon}(\mathbf{v}_{I}) \right) \Big|_{K} = \begin{bmatrix} \frac{\partial}{\partial x} & 0 & 0 \\ 0 & \frac{\partial}{\partial y} & 0 \\ 0 & 0 & \frac{\partial}{\partial z} \\ \frac{\partial}{\partial y} & \frac{\partial}{\partial x} & 0 \\ \frac{\partial}{\partial z} & 0 & \frac{\partial}{\partial x} \\ 0 & \frac{\partial}{\partial z} & \frac{\partial}{\partial y} \end{bmatrix} \begin{bmatrix} \mathbf{N}_{C} & \mathbf{N}_{I} \end{bmatrix} \left\{ \begin{array}{c} q_{c}^{(\mathbf{v})} \\ q_{I}^{(\mathbf{v})} \end{array} \right\}$$
$$=: \begin{bmatrix} \mathbf{B}_{C} & \mathbf{B}_{I} \end{bmatrix} \left\{ \begin{array}{c} q_{c}^{(\mathbf{v})} \\ q_{I}^{(\mathbf{v})} \end{array} \right\}.$$
(2.13)

By (2.6) and (2.13), we denote

$$\begin{split} \int_{K} \boldsymbol{\sigma} \cdot D^{-1} \boldsymbol{\tau} d\Omega &= \left(\boldsymbol{\beta}^{(\sigma)}\right)^{T} \int_{-1}^{1} \int_{-1}^{1} \int_{-1}^{1} \boldsymbol{\Phi}_{\boldsymbol{\beta}}^{T} \mathbf{D}^{-1} \boldsymbol{\Phi}_{\boldsymbol{\beta}} |J| d\xi d\eta d\zeta \boldsymbol{\beta}^{(\tau)} =: \left(\boldsymbol{\beta}^{(\sigma)}\right)^{T} \mathbf{H} \boldsymbol{\beta}^{(\tau)}, \\ \int_{K} \boldsymbol{\tau} \cdot \boldsymbol{\epsilon} (\mathbf{v}_{c} + \mathbf{v}_{I}) d\Omega &= \left(\boldsymbol{\beta}^{(\tau)}\right)^{T} \int_{-1}^{1} \int_{-1}^{1} \int_{-1}^{1} \boldsymbol{\Phi}_{\boldsymbol{\beta}}^{T} [\mathbf{B}_{C} \quad \mathbf{B}_{I}] |J| d\xi d\eta d\zeta \left\{ \begin{array}{c} q_{c}^{(\mathbf{v})} \\ q_{I}^{(\mathbf{v})} \end{array} \right\} \\ &=: \left(\boldsymbol{\beta}^{(\tau)}\right)^{T} [\mathbf{G}_{C} \quad \mathbf{G}_{I}] \left\{ \begin{array}{c} q_{c}^{(\mathbf{v})} \\ q_{I}^{(\mathbf{v})} \end{array} \right\}, \end{split}$$

$$\int_{K} \mathbf{f} \cdot \mathbf{v}_{c} d\Omega + \oint_{\Gamma_{1} \cap \partial K} \mathbf{T} \cdot \mathbf{v}_{c} ds =: \begin{bmatrix} \mathbf{Q}_{c} & \mathbf{0} \end{bmatrix} \left\{ \begin{array}{c} q_{c}^{(\mathbf{v})} \\ q_{I}^{(\mathbf{v})} \end{array} \right\}.$$

Then it follows from (2.11) that the stress-displacement relation on *K* is:

$$\beta^{(\sigma^h)} = \mathbf{H}^{-1}[\mathbf{G}_C \quad \mathbf{G}_I] \left\{ \begin{array}{c} q_c^{(\mathbf{u}^h)} \\ q_I^{(\mathbf{u}^h)} \end{array} \right\},$$

Together with Eq. (2.12), we can get the following local system:

$$\begin{bmatrix} \mathbf{G}_{C}^{T}\mathbf{H}^{-1}\mathbf{G}_{C} & \mathbf{G}_{C}^{T}\mathbf{H}^{-1}\mathbf{G}_{I} \\ \mathbf{G}_{I}^{T}\mathbf{H}^{-1}\mathbf{G}_{C} & \mathbf{G}_{I}^{T}\mathbf{H}^{-1}\mathbf{G}_{I} \end{bmatrix} \begin{cases} q_{c}^{(\mathbf{u}^{h})} \\ q_{I}^{(\mathbf{u}^{h})} \end{cases} = \begin{cases} \mathbf{Q}_{c}^{T} \\ \mathbf{0} \end{cases}.$$
 (2.14)

By following a static condensation process with respect to the internal displacement parameters, the second matrix equation in (2.14) implies

$$q_I^{(\mathbf{u}^h)} = -(\mathbf{G}_I^T \mathbf{H}^{-1} \mathbf{G}_I)^{-1} \mathbf{G}_I^T \mathbf{H}^{-1} \mathbf{G}_C q_c^{(\mathbf{u}^h)},$$

Substitute this relation into the first matrix equation (2.14), we then get the element stiffness equation only with respect to the nodal parameters $q_c^{(\mathbf{u}^h)}$:

$$\left(\mathbf{G}_{C}^{T}\mathbf{H}^{-1}\mathbf{G}_{C}-\mathbf{G}_{C}^{T}\mathbf{H}^{-1}\mathbf{G}_{I}(\mathbf{G}_{I}^{T}\mathbf{H}^{-1}\mathbf{G}_{I})^{-1}\mathbf{G}_{I}^{T}\mathbf{H}^{-1}\mathbf{G}_{C}\right)q_{c}^{(\mathbf{u}^{h})}=\mathbf{Q}_{c}^{T}.$$
(2.15)

2.3 Equivalence to an enhanced strains method

Following the basic idea of [33, 34], in this subsection we will give an equivalent enhanced strains method to the new hybrid stress element *ECH*8.

The equivalent enhanced strains method is based on the following modified Hu-Washizu formulation

$$\Pi_{3}(\mathbf{v}_{c},\boldsymbol{\tau},\tilde{\boldsymbol{\epsilon}},\tilde{\boldsymbol{\epsilon}_{I}}) = \sum_{K} \left[-\frac{1}{2} \int_{K} \tilde{\boldsymbol{\epsilon}} \cdot \mathbf{D}\tilde{\boldsymbol{\epsilon}}d\Omega + \int_{K} \boldsymbol{\tau} \cdot \left(\tilde{\boldsymbol{\epsilon}} - \boldsymbol{\epsilon}(\mathbf{v}_{c}) - \tilde{\boldsymbol{\epsilon}_{I}} \right) d\Omega - \oint_{\Gamma_{1} \cap \partial K} \mathbf{T} \cdot \mathbf{v}_{c} ds - \int_{K} \mathbf{f} \cdot \mathbf{v}_{c} d\Omega \right],$$

where $\mathbf{v}_c \in \mathbf{U}_c^h$ is the compatible displacements, $\epsilon(\mathbf{v}_c) = (\nabla \mathbf{v}_c + \nabla^T \mathbf{v}_c)/2$ is the strains caused by displacements \mathbf{v}_c , $\boldsymbol{\tau} \in \mathbf{V}_1^h$ is the unconstraint stresses,

$$\epsilon \in \mathbf{V}_1^h$$
, and $\epsilon_I \in \mathbf{V}_I^h := \left\{ \epsilon(\mathbf{v}_I) : \mathbf{v}_I \in \mathbf{U}_I^h \right\}$,

are the independent strains and enhanced strains respectively. The spaces \mathbf{U}_c^h , \mathbf{V}_1^h , \mathbf{U}_I^h are the same as defined in Section 2.1.

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In order to explain its equivalence to *ECH8*, we first give the variational equations of the above enhanced strains method as follows: Find

$$(\sigma^h, \mathbf{u}^h_c, \epsilon, \epsilon_I) \in \mathbf{V}^h_1 \times \mathbf{U}^h_1 \times \mathbf{V}^h_1 \times \mathbf{V}^h_I,$$

such that

$$\sum_{K} \left\{ \int_{K} \boldsymbol{\tau} \cdot \left(\boldsymbol{\epsilon} - \boldsymbol{\epsilon}(\mathbf{u}_{c}^{h}) - \boldsymbol{\epsilon}_{I} \right) d\Omega \right\} = 0, \qquad \forall \boldsymbol{\tau} \in \mathbf{V}_{1}^{h}, \qquad (2.16)$$

$$\sum_{K} \left\{ \int_{K} \tilde{\epsilon} \cdot \left(\mathbf{D} \epsilon - \sigma^{h} \right) d\Omega \right\} = 0, \qquad \forall \tilde{\epsilon} \in \mathbf{V}_{1}^{h}, \qquad (2.17)$$

$$\sum_{K} \int_{K} \sigma^{h} \cdot \boldsymbol{\epsilon}(\mathbf{v}_{c}) d\Omega = \sum_{K} \left\{ \int_{K} \mathbf{f} \cdot \mathbf{v}_{c} d\Omega + \oint_{\Gamma_{1} \cap \partial K} \mathbf{T} \cdot \mathbf{v}_{c} ds \right\}, \quad \forall \mathbf{v}_{c} \in \mathbf{U}_{1}^{h},$$
(2.18)

$$\sum_{K} \left\{ \int_{K} \boldsymbol{\sigma}^{h} \cdot \tilde{\boldsymbol{\epsilon}}_{I} d\Omega \right\} = 0, \qquad \qquad \forall \tilde{\boldsymbol{\epsilon}}_{I} \in \mathbf{V}_{I}^{h}. \tag{2.19}$$

We show the equivalence as follows. As we choose the enhanced strains directly from the strains caused by the Wilson bubble displacements, (2.18) and (2.19) are obviously equivalent to (2.12). From (2.17), we know

$$\mathbf{D}\epsilon - \sigma^h = 0$$
, or $\epsilon = \mathbf{D}^{-1}\sigma^h$,

since

$$\mathbf{D}\epsilon - \sigma^h \in \mathbf{V}_1^h$$
.

Substitute this into (2.16), we then get an equation which is the same as (2.11). To sum up, the resultant enhanced strains method and the new hybrid stress element *ECH8* are equivalent.

Owing to this equivalence, the element *ECH*8 can easily be extended to non-linear problems.

3 Numerical experiments

In this section, some test problems are used to examine numerical performance of the hybrid elements ECH8 and ECH8'. The 2 × 2 × 2 Gaussian quadrature is used for all the problems, and it is exact for the computation of ECH8 and ECH8'.

Some existing 8-node hexahedral elements are selected for comparison. They are: the isoparametric trilinear element H8, the 3D Wilson element H11, the combined hybrid element CHH(0-1) [56–58], Pian and Tong's hybrid stress hexahedral element $PT18\beta$ [2, 14–16], Sze's element $SS18\beta$ [15] which is the improved version of $PT18\beta$ through admissible matrix formulation, and some famous enhanced strain methods such as SR [31] proposed by Simo and Rifai, HIS [55] proposed by Areias, 3DEAS9and 3DEAS30 [41] proposed by Andelfinger and Ramm. As the element 3DEAS30 is equivalent to $PT18\beta$, we only need to list the results of $PT18\beta$ in this paper. It should be mentioned that all the numerical results of H8, H11, CHH(0-1), 3DEAS9, as well as of ECH8 and ECH8', are computed by using our codes, while all results of the other elements are from the corresponding references.

3.1 Test for element invariance

The standard test on element invariance is explained by the single-element structure in Fig. 1. Local coordinates frames $\overline{x} - \overline{y} - \overline{z}$ attached to the bases of the structures is shown. The nodal forces acting are defined with respected to the local frames and are parallel to the \overline{x} -direction. To test the invariance of the proposed models, the $\overline{x} - \overline{z}$ plane is rotated anti-clockwisely by angles $\pi/8$, $\pi/4$, $3\pi/8$ and $\pi/2$. The computed results for \overline{x} -direction displacement of point C are listed in Table 1. The two proposed elements are invariant.

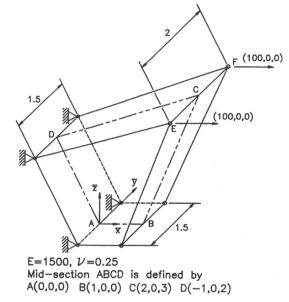


Figure 1: Three-dimensional single element structure for testing element invariance .

3.2 Beam bending

A cantilever beam modeled with five elements is subjected to two different load cases (Fig. 2). The results of every element for the energy Π , the maximum displacement W_A at point A and the normal stress σ_{Bx} at point B are given in Table 2, where * means

H8	H11	3DEAS9	ΡΤ18β	CHH(0-1)	ECH8	ECH8'
			3DEAS30			
0.4556	0.5737	0.5312	0.5364	0.5775	0.5830	0.5781

Table 1: Computed deflection at point C in the \overline{x} – *direction*.

		Case 1			Case 2	
Element	W _A	σ_{Bx}	$\Pi(\times 10^4)$	W _A	σ_{Bx}	$\Pi(\times 10^4)$
H8	44.4	-1736.3	1.64	49.3	-2415.3	1.47
H11	98.3	-3037.9	3.86	100.2	-4224.8	3.01
H11*	89.7	-2892.1	3.53	92.2	-4020.5	2.77
CHH(0-1)	98.3	-3002.4	3.86	100.3	-4159.1	3.01
$CHH(0-1)^{*}$	93.8	-2927.2	3.69	96.1	-4054.0	2.89
3DEAS9	95.7	-3000.3	3.70	97.8	-4131.6	2.94
<i>PT</i> 18β,3DEAS30	98.3	-3002.5	3.86	100.3	-4159.3	3.01
SS18 ^β	98.3	-3002.3	3.86	100.3	-4159.1	3.01
HIS	95.9			97.8		
ECH8	98.4	-3001.5	3.87	100.4	-4158.7	3.02
ECH8'	98.3	-3002.3	3.87	100.3	-4159.2	3.01
Exact	100.0	-3000.0	4.00	102.6	-4050	3.08

Table 2: Cantilever beam with five irregular elements.

the use of $3 \times 3 \times 3$ Gaussian quadrature. We can see that the proposed elements ECH8 and *ECH8'* give almost the best results.

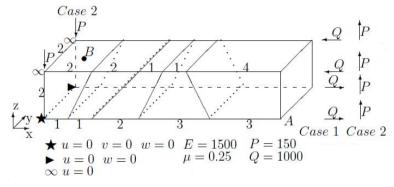


Figure 2: Finite element mesh for cantilever beam problem.

3.3 Beam bending: sensitivity to mesh distortion

In this standard test, a beam under bending is only analyzed with two hexahedron elements (Fig. 3). The distortion degree of element is measured by parameter *e*. The results of every element for the maximum displacement W_A at point A are given in Table 3. The elements ECH8 and *ECH8*' behave well.

3.4 MacNeal-Harder's slender cantilever beams

A slender cantilever beam proposed by MacNeal and Harder [62] is used to test the scheme accuracy under the influence of geometrical parameters of problem such as aspect ratio. In Fig. 4, a straight beam of dimension $6 \times 0.2 \times 0.1$ is analyzed with six

Element	e=0.5	e=1	e=2	e=3	e=4	e=4.9	Exact
H8	20.8	13.9	9.6	8.2	7.1	6.1	
H11	92.6	85.9	91.8	101.8	110.1	116.3	
CHH(0-1)	92.7	85.9	92.0	102.2	110.6	116.8	
3DEAS9	82.9	65.8	60.9	64.0	65.0	62.7	100.0
<i>PT</i> 18β,3DEAS30	88.6	74.3	57.0	50.3	46.6	42.3	
SS18ß	88.8	79.9	68.0	63.7	61.4	60.6	
ECH8	93.2	87.0	93.1	103.1	111.4	117.6	
ECH8′	92.9	86.1	92.3	102.6	111.1	117.5	

Table 3: The results of W_A for mesh distortion.

Table 4: The tip deflections and energy for slender cantilever beam.

Element	H8	H11	3DEAS9	ΡΤ18β	$SS18\beta$	ECH8	ECH8'
				3DEAS30			
W _A	0.0109	0.4204	0.4204	0.4233	0.4235	0.4291	0.4237
П	-0.0054	-0.2102	-0.2102	-0.2112	-0.2117	-0.2145	-0.2119

regular hexahedron elements. An out-of-plain end shear is acting on the beam. The results of tip deflection W_A in the direction of loading and the energy Π are listed in Table 4 and the reference is $W_A = 0.4321$, $\Pi = -0.2159$. We can see that ECH8 gives the best results.

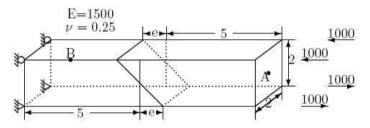


Figure 3: Cantilever beam for mesh distortion test.

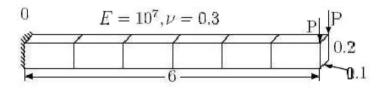


Figure 4: Straight-beam.

3.5 Poisson's ratio locking free test

This example is chosen for testing the behavior of elements under the incompressible limit condition (Poisson's ratio varies from 0.3 to 0.49999) [19]. The cantilever beam are

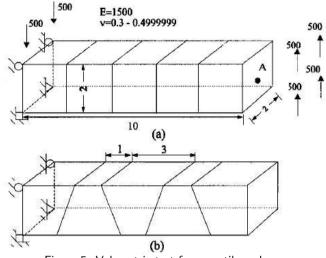


Figure 5: Volumetric test for a cantilever beam.

analyzed with five cubic elements in Fig. 5(a) and five irregular elements in (b). The results of every element except $SS18\beta$ for the maximum displacement W_A at point A, the energy Π and the normal stress σ_{Bx} at point B are given in Tables 5 to 7 and Table 8 to 10, respectively. The elements ECH8 and *ECH8*' are uniformly accurate.

3.6 Convergence test for solid

A clamped tapered cantilever with varying thickness is considered. This problem was modified from Cook's membrane [51] to test the accuracy of hexahedron elements (see Fig. 6). The results for the tip deflection at point A of mesh $4 \times 4 \times 1$ and $8 \times 8 \times 2$ are

	ν	H8	H11	3DEAS9	$PT18\beta$	ECH8	ECH8′	Exact
					3DEAS30			
Ĭ	0.3	222.9	338.1	338.1	345.4	338.7	338.4	
	0.4	204.4	338.1	338.1	345.8	339.3	338.9	342
	0.49	169.7	332.5	332.5	346.1	339.9	339.2	
	0.49999	135.1	320.0	320.0	346.3	340.0	339.3	

Table 5: The displacement of cubic elements for Poisson's ratio test.

Table 6: The energy $\Pi\bigl(\times(-10^5)\bigr)$ of cubic elements for Poisson's ratio test.

ν	H8	H11	3DEAS9	$PT18\beta$	ECH8	ECH8′	Exact
				3DEAS30			
0.3	2.23	3.38	3.38	3.45	3.39	3.38	
0.4	2.04	3.38	3.38	3.45	3.39	3.39	3.42
0.49	1.69	3.32	3.32	3.46	3.40	3.39	
0.49999	1.35	3.20	3.20	3.46	3.40	3.39	

ν	H8	H11	3DEAS9	$PT18\beta$	ECH8	ECH8′	Exact
				3DEAS30			
0.3	-1.01	-1.82	-1.82	-1.35	-1.35	-1.35	
0.4	-1.07	-2.93	-2.93	-1.35	-1.35	-1.35	-1.35
0.49	-1.14	-25.06	-25.06	-1.35	-1.35	-1.35	
0.49999	-1.22	-27492	-27492	-1.35	-1.35	-1.35	

Table 7: The stress $\Pi(\times 10^4)$ of cubic elements for Poisson's ratio test.

shown in Table 11. The elements ECH8 and ECH8' give best convergent results.

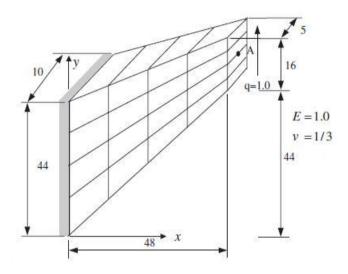


Figure 6: Solid convergence test.

Table 8: The displacement of irregular elements for Poisson's ratio test.

ν	H8	H11	3DEAS9	ΡΤ18β	ECH8	ECH8′	Exact
				3DEAS30			
0.3	189.6	301.3	266.6	284.2	302.7	302.4	
0.4	178.5	298.7	266.7	284.5	301.0	300.5	342
0.49	157.4	291.8	263.0	283.9	298.6	297.8	
0.49999	150.5	288.5	260.9	283.1	298.2	297.4	

Table 9: The energy $\Pi\bigl(\times(-10^5)\bigr)$ of cubic elements for Poisson's ratio test.

ν	H8	H11	3DEAS9	ΡΤ18β	ECH8	ECH8'	Exact
				3DEAS30			
0.3	1.89	3.04	2.70	2.87	3.05	3.05	
0.4	1.78	3.01	2.69	2.88	3.03	3.03	3.42
0.49	1.56	2.94	2.65	2.85	3.01	3.00	
0.49999	1.49	2.91	2.63	2.83	3.01	3.00	

ſ	ν	H8	H11	3DEAS9	ΡΤ18β	ECH8	ECH8'	Exact
l					3DEAS30			
ľ	0.3	-0.80	-1.61	-1.55	-1.33	-1.34	-1.34	
l	0.4	-0.76	-2.68	-2.53	-1.33	-1.34	-1.34	-1.35
	0.49	0.4683	-23.87	-21.65	-1.32	-1.33	-1.33	
	0.49999	1298.5	-24237	-21576	-1.32	-1.33	-1.33	

Table 10: The stress $\Pi(\times 10^4)$ of cubic elements for Poisson's ratio test.

Table 11: Solid convergence test.

ſ	Elements	H8	H11	3DEAS9	CHH(0-1)	ECH8	ECH8′
	$4 \times 4 \times 1$	365.73	499.39	477.13	499.67	500.19	499.75
	$8 \times 8 \times 2$	460.23	507.54	501.73	507.58	507.63	507.59
	Exact			508.11			

3.7 Simply supported square plate subjected to uniform loading

A simply supported square plate with varies range of thickness/ span ratio (t/L) subjected to a uniform load (Fig. 7) is used to test shear locking phenomenon of the hexahedral elements. Owing to symmetry, only one-quarter of the plate is analyzed by $N \times N$ elements. The results of the central deflection under different thickness/ span ratio are given from Table 12 to Table 15. From Table 12 we can see that ECH8 and *ECH8'* are quite accurate when $t/L \ge 10^{-4}$.

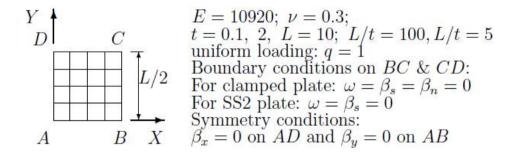


Figure 7: Quadrant of a square plate: geometry and 4×4 mesh.

3.8 One-quarter of circle plate

In this test, one-quarter of a clamped circle plate is used to demonstrate the versatility of the proposed elements. Three meshes of 3, 12 and 48 elements are considered (Fig. 8). The results of the central deflection are listed in Table 16. We can see that ECH8 and *ECH8'* behave almost the best.

Table 12: Central deflection for simply supported square plate with a uniform load discretized into 6×6 in different thickness/ span ratios.

t/L	0.2	0.01	0.001	10^{-4}	10^{-5}
H8	393.6	30.69	0.337	3.4e-3	3.4e-5
H11	475.5	3579.1	51.15	0.584	5.9e-3
3DEAS9	470.9	377.4	48.14	0.545	5.5e-3
CHH(0-1)	476.5	392.6	90.90	1.167	0.012
ECH8'	476.4	406.0	405.8	401.5	31.30
ECH8	477.4	407.1	406.9	409.9	24.41
Refence	490.8	406.4	406.2	406.2	406.2

Table 13: Simply supported square plate (uniform load, t/L = 0.2).

N	2	4	6	10	16
H8	338.4	383.8	393.6	398.8	400.6
H11	462.5	474.2	475.5	476.1	476.3
3DEAS9	457.9	469.7	470.9	471.5	471.6
CHH(0-1)	473.9	476.5	476.5	476.4	476.4
ECH8'	475.6	476.3	476.4	476.4	476.4
ECH8	486.1	478.7	477.4	476.8	476.5
Reference			490.8		

3.9 Morley's skew plate

Fig. 9 shows the mesh generated for the Morley's simply supported rhombic plate [61] with skew angle $\Theta = 30^{\circ}$, subject to a uniform load. The results of central deflection are given in Table 17. The element ECH8 behaves the best.

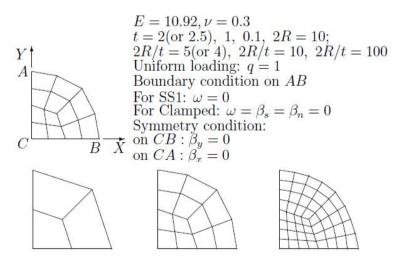


Figure 8: Three meshes for quadrant of a circular plate.

N	2	4	6	10	16
H8	3.719	14.38	30.69	73.22	139.5
H11	56.78	298.5	379.1	402.4	405.7
CHH(0-1)	99.73	344.7	392.6	404.5	406.1
3DEAS9	53.52	293.1	377.4	402.2	405.7
<i>PT</i> 18 <i>β</i> ,3 <i>DEAS</i> 30	401.3	405.1	405.6	406.1	406.3
SS18β	421.8	413.3	408.7	407.2	406.6
EAS[34]	398.9	404.9	—	—	406.3
HIS	432.0	412.9	—	—	410.9
SR	397.1	404.4	—	—	406.3
ECH8′	402.0	405.5	406.0	406.3	406.4
ECH8	412.5	407.9	407.1	406.6	406.5
Reference			406.4		

Table 14: Simply supported square plate (uniform load, t/L = 0.01).

Table 15: Simply supported square plate (uniform load, t/L = 0.001).

N	2	4	6	10	16
H8	0.038	0.150	0.337	0.935	2.383
H11	0.662	11.09	51.15	214.6	357.6
CHH(0-1)	1.319	21.60	90.90	281.0	380.4
3DEAS9	0.617	10.37	48.14	207.7	354.6
<i>PT</i> 18 <i>β</i> ,3 <i>DEAS</i> 30	401.4	405.0	405.5	405.9	406.1
SS18β	421.2	412.6	408.1	406.8	406.4
ECH8'	401.8	405.3	405.8	406.1	406.2
ECH8	412.3	407.7	406.9	406.5	406.3
Reference			406.2		

3.10 Scordelis roof

In Fig. 10, a 80° cylindrical roof is supported on two rigid diaphragms and subjected to a gravity loading g of intensity 90 unit per unit midsurface area. Owing to symmetry, only a quarter of the roof is modeled. The vertical displacement at the midpoint A of the free-hanging edge is normalized in Table 18. This is a widely employed bench-

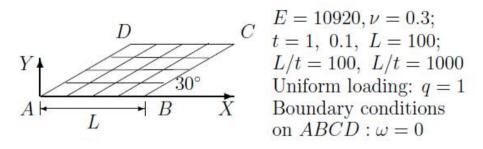


Figure 9: Morley's acute skew plate: geometry and 4×4 mesh.

t/R	0.2			0.02			
n	3	12	48	3	12	48	
H8	3.4722	6.5017	8.4755	48.501	176.60	647.14	
H11	9.4704	10.879	11.169	1418.4	6469.6	9369.0	
CHH(0-1)	9.902	10.985	11.192	2288.5	7636.0	9546.3	
3DEAS9	7.688	10.338	11.029	1134.4	6021.0	9233.4	
<i>PT</i> 18 <i>β</i> ,3 <i>DEAS</i> 30	5.972	10.038	11.155	5058.1	8501.9	9617.2	
SS18β	9.322	10.384	11.185	5865.9	9118.2	9618.3	
EAS[34]	—	—	—	—	9163.3	9686.6	
HIS	—	—	—	3081.8	8002.9	9578.0	
SR	—			—	9079.8	9613.8	
ECH8'	10.226	11.036	11.200	6339.5	9417.2	9722.3	
ECH8	10.411	11.092	11.214	6430.0	9473.6	9736.6	
Refence		11.551			9783.5		

Table 16: Central deflection for clamped circle plate.

Table 17: Central deflection for the Morley's skew (30°) plate.

t/L	0.01			0.001		
n	4	8	16	4	8	16
H8	0.009	0.029	0.075	9.5e-5	3.8e-4	1.5e-3
H11	0.132	0.277	0.364	0.003	0.038	0.178
CHH(0-1)	0.172	0.302	0.377	0.005	0.064	0.210
HIS	0.419	0.410	0.405	_		_
3DEAS9	0.132	0.277	0.364	0.003	0.038	0.178
SS18β				0.415	0.393	0.396
ECH8'	0.391	0.400	0.402	0.365	0.383	0.401
ECH8	0.437	0.435	0.421	0.436	0.434	0.419
Kirchhoff solution[60]					0.408	
3D solution[61]		0.424				

Table 10. Normalized deficetion for Scoldens-Eoroor.								
Mesh	2×2	4×4	6×6	8×8				
H8	0.0038	0.0117	0.0209	0.0314				
H11	0.0311	0.1415	0.2444	0.2836				
CHH(0-1)	0.0460	0.1922	0.2736	0.2957				
3DEAS9	0.0329	0.1411	0.2441	0.2835				
<i>PT</i> 18β,3 <i>DEAS</i> 30	0.4677	0.3291	0.3117	0.3092				
SS18β	0.4702	0.3274	0.3145	0.3108				
HIS	0.4194	0.3175	0.3114	0.3089				
ECH8′	0.1953	0.3015	0.3070	0.3073				
ECH8	0.4666	0.3225	0.3118	0.3090				
Reference		0.3086						

Table 18: Normalized deflection for Scordelis-Loroof.

mark problem for evaluating new shell elements and most of the plate/ shell elements overestimate the deflection when the mesh is coarse. From Table 18 we can see that ECH8 and *ECH8*′ give very accurate results.

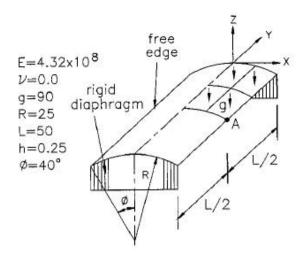


Figure 10: Scordelis-Lo's Roof loaded by its own weight.

4 Conclusions

For three-dimensional elasticity, the energy-compatibility condition has been shown to be an efficient stress-optimization condition for the construction of high performance low order hybrid stress hexahedron elements based on the Hellinger-Reissner variational principle. By following this condition, a robust 8-node hexahedral element formulation has been derived. Due to elimination of stress parameters at the element level, the proposed elements are of almost the same computational cost as that of H8. The equivalence to an enhanced strains method has been established. This ensures the convenience of the extension of the proposed hybrid stress method to general nonlinear problems. Numerical tests have shown that the new method is of high accuracy at coarse meshes, insensitive to mesh distortions and free from volume locking in the analysis of beams, plates and shells.

Acknowledgments

This work was supported in part by the Natural Science Foundation of China (10771150), the National Basic Research Program of China (2005CB321701), and the Program for New Century Excellent Talents in University (NCET-07-0584).

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