

## THE INERTIAL FRACTAL SETS FOR NONLINEAR SCHRÖDINGER EQUATIONS\*

Dai Zhengde

(Institute of Applied Mathematics, Yunnan Province; Dept. of Math., Yunnan University,  
Kunming, 650091, China)

Guo Boling and Gao Hongjun

(Institute of Applied Physics and Computational Mathematics,  
Beijing 100088, China)

(Received Oct. 13, 1992; revised Oct. 21, 1993)

**Abstract** The existence of inertial fractal sets for weakly dissipative Schrödinger equations which possess  $(E_0, E)$  type compact attractor is proved. The estimates of the upper bounds of fractal dimension of inertial fractal set are also obtained.

**Key Words** Schrödinger inertial fractal set.

**Classification** 35Q55.

### 1. Introduction

In the study of the inertial manifold of the 2D Navier-Stokes equations (NSE) representing turbulent flows, one finds out that [2] since there exist spectral barriers and spectral gap conditions, the existence of an inertial manifold for 2D NSE is still a mystery. Recently, Eden et al. [3] have studied and discovered that some dissipative evolution equations with real coefficients, for which the  $(E, E)$  type compact attractors exist, including 2D NSE, have a kind of set similar to inertial manifold-inertial set. This paper advances the previous results to complex weakly infinite dimensional dynamical system that only possesses  $(E_0, E)$  type compact attractors.

### 2. Main Results

Let  $D(A)$ ,  $V$  be two Hilbert spaces,  $D(A)$  be dense in  $V$  and compactly imbedded into  $V$ .

We study

$$\frac{du}{dt} + Au + g(u) = f(x), \quad t > 0, x \in \Omega \quad (1)$$

$$u(0) = u_0 \quad (2)$$

\*The project supported by National Natural Science Foundation of China.

$$u|_{\partial\Omega} = 0 \quad (3)$$

where  $\Omega$  is a bounded open set in  $R^n$ ,  $\partial\Omega$  is smooth.  $A$  is a positive self adjoint operator with a compact inverse. Let  $\{w_n, n = 1, 2, \dots\}$  denote the complete set of eigenvectors of  $A$ , the corresponding eigenvalues are

$$0 < \lambda_1 < \lambda_2 < \dots < \lambda_n < \dots \nearrow +\infty \quad (4)$$

We assume that the nonlinear semigroup  $S(t)$  defined in (1)–(3) possesses a  $(D(A), V)$  type compact attractor, namely, there exists a compact  $\mathcal{A}$  in  $V$ ,  $\mathcal{A}$  attracts all bounded subsets in  $D(A)$  and it is invariant under the action of  $S(t)$ .

**Definition 1** A compact set  $M$  in  $V$  is called an inertial fractal set of  $(D(A), V)$  type for  $(S(t), B)$  if  $\mathcal{A} \subseteq M \subseteq B$  and

1.  $S(t)M \subseteq M, \forall t \geq 0$ ,
2.  $M$  has finite fractal dimension,  $d_F(M) < \infty$ ,
3. there exist positive constants  $c_0, c_1$  such that

$$\text{dist}_V(S(t)B, M) \leq c_0 e^{-c_1 t}, \quad \forall t > 0$$

where  $\text{dist}_V(A, B) = \sup_{x \in A} \inf_{y \in B} |x - y|_V$ ,  $B$  is a positively invariant set for  $S(t)$  in  $V$ .

**Definition 2**<sup>[3]</sup> If for every  $\delta \in \left(0, \frac{1}{8}\right)$ , there exists an orthogonal projection  $P_{N_0}$  of rank equal to  $N_0$  such that for every  $u$  and  $v$  in  $B$ , either

$$|S(t_*)u - S(t_*)v|_V \leq \delta |u - v|_V \quad (5)$$

or

$$|Q_{N_0}(S(t_*)u - S(t_*)v)|_V \leq |P_{N_0}(S(t_*)u - S(t_*)v)|_V \quad (6)$$

Then we call  $S(t)$  is squeezing in  $B$ , where  $Q_{N_0} = I - P_{N_0}$ .

**Theorem 1** Suppose (1)–(3) satisfies the following conditions

1. there exists a  $(D(A), V)$  type compact attractor  $\mathcal{A}$ .
2. there exists a compact set  $B$  in  $V$  which is positively invariant for  $S(t)$ .
3.  $S(t)$  is squeezing and Lipschitz continuous, that is there exists a bounded function  $l(t)$  such that  $|S(t)u - S(t)v|_V \leq l(t)|u - v|_V$  for every  $u, v$  in  $B$ .

Then (1)–(3) admits a  $(D(A), V)$  type inertial fractal set  $M$  for  $(S(t), B)$  and

$$M = \bigcup_{0 \leq t \leq t_*} S(t)M_* \quad (7)$$

where

$$M_* = \mathcal{A} \cup \left( \bigcup_{j=1}^{\infty} \bigcup_{k=1}^{\infty} S(t_*)^j (E^{(k)}) \right) \quad (8)$$